

DECLARATION & POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My correct city and state of residence, my post office address and my citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

| | - | | | | | |
|---|-------------------------------------|------------------------------|--------------|---------------------|-------------|------------------|
| disclosed and claimed and for which a patent is sought on the invention entitled: | | | | | | |
| "Methods ar | nd Systems for P | roviding Haptic Mess | aging to Ha | ndheld Com | nmunication | Devices" |
| The specification | on of this subject m | natter: | | | | |
| | is attached hereto | о. | | | | |
| \boxtimes | was filed on June | 8, 2005 as United Sta | tes Applicat | ion Number <u>1</u> | 10/538,160 | |
| | and was amende | d on | (if appli | cable). | | |
| \boxtimes | was filed on Dece PCT/US2003/038 | ember 8, 2003 as PCT 8899 | Internationa | al Application | Number | |
| | and was amende | d on | (if appli | cable). | | |
| application, including the claims, as amended by any amendment(s) referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application. I acknowledge the duty to disclose information which is material to the examination of this | | | | | | |
| application in accordance with 37 C.F.R. §1.56(a). | | | | | | |
| I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed. | | | | | | |
| PRIOR FOREIG | SN APPLICATION | <u>I(S)</u> | Priority | Claimed | | ed Copy ched? |
| Number | Country | Month/Day/Year Filed | Yes | No | Yes | No |

PROVISIONAL PATENT APPLICATION(S)

| • | efit under 35 U.S.C. §119(e) of any United States prov | isional application(s) |
|--------------------|--|------------------------|
| listed below: | | |
| 60/431,662 | December 8, 2002 | |
| Application Number | Filing Date | |
| | | |

PARENT PATENT APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

| Application No. | Filing Date | Status (Issued, Pending, Abandoned) |
|-----------------|-------------|-------------------------------------|
| Application No. | Filing Date | Status (Issued, Pending, Abandoned) |
| Application No. | Filing Date | Status (Issued, Pending, Abandoned) |
| Application No. | Filing Date | Status (Issued, Pending, Abandoned) |

I hereby appoint practitioners associated with **Customer Number: 60,140** as attorneys of record with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith. If this application is assigned by me I agree and understand that the above-named attorneys will represent the assignee and not me.

Please send all correspondence and direct all telephone calls to the address associated with

Customer Number 60,140.

| FULL NAME OF INVENTOR 1 | FIRST Name | MIDDLE Initial(s) | LAST Name | |
|-----------------------------|------------------------|--------------------------|------------------------|----------|
| | Danny | Α. | Grant | |
| RESIDENCE AN CITIZENSHIP | | State or Foreign Country | Country of Cit | izenship |
| | Montreal | Quebec, Canada | Canada | |
| POST OFFICE ADDRESS | Number and Street | City | State or Country | Zip Code |
| | 5961 de la Roche, #4 | Montreal | Quebec, Canada | H2S_2C8 |
| FULL NAME OF INVENTOR 2 | FIRST Name | MIDDLE Initial(s) | LAST Name | |
| | Jeffrey | | Eid | <u> </u> |
| RESIDENCE AN CITIZENSHIP | D City | State or Foreign Country | Country of Cit | izenship |
| | Danville | California | USA | |
| POST OFFICE ADDRESS | Number and Street | City | State or Country | Zip Code |
| | 105 Shadwell Drive | Danville | California | 94506 |
| | | | | |
| FULL NAME OF INVENTOR 3 | | MIDDLE Initial(s) | LAST Name | |
| RESIDENCE AN CITIZENSHIP | Shoichi D City | State or Foreign Country | Endo Country of Cit | izenship |
| | Cupertino | California | Japan | |
| POST OFFICE ADDRESS | Number and Street | City | State or Country | Zip Code |
| | 20268 Northwest Square | Cupertino | California | 95014 |
| FULL NAME OF INVENTOR 4 | FIRST Name | MIDDLE Initial(s) | LAST Name | |
| | Erik | J | Shahoian | |
| RESIDENCE AN CITIZENSHIP | D City | State or Foreign Country | Country of Cit | izenship |
| | San Ramon | California | USA | |
| POST OFFICE ADDRESS | Number and Street | City | State or Country | Zip Code |
| | 3208 El Suyo Drive | San Ramon | California | 94583 |
| FULL NAME OF INVENTOR 5 | FIRST Name | MIDDLE Initial(s) | LAST Name | |
| HATEITION 3 | Dean | C. | Chang | |
| RESIDENCE AN CITIZENSHIP | | State or Foreign Country | Country of Cit | izenship |
| | Gaithersburg | Maryland | USA | |
| POST OFFICE ADDRESS | Number and Street | City | State or Country | Zip Code |
| | 330 Alderwood Drive | Gaithersburg | Maryland | 20878 |

| Day Ist | 06/04/25 | <u> </u> |
|------------------------------------|----------|----------|
| FIRST INVENTOR Danny A. Grant | Date ' | |
| SECOND INVENTOR Jeffrey Eid | Date | |
| THIRD INVENTOR Shoichi Endo | Date | |
| FOURTH INVENTOR Erik J. Shohian | Date | |
| FIFTH INVENTOR Dean C. Chang | Date | |

| FIRST INVENTOR Danny A. Grant | Date | |
|------------------------------------|---------|--|
| James & | 4/25/06 | |
| SECOND INVENTOR Jeffrey Eid | Date | |
| THIRD INVENTOR Shoichi Endo | Date | |
| FOURTH INVENTOR Erik J. Shohian | Date | |
| FIFTH INVENTOR | Date | |

JUN 2 7 2006 W

Docket No.: IMMR-0152A (034701-000510)

| FIRST INVENTOR Danny A. Grant | Date | |
|------------------------------------|----------------|--|
| SECOND INVENTOR Jeffrey Eld | Date | |
| THIRD INVENTOR Shoichl Endo | Date 4/25/2006 | |
| FOURTH INVENTOR Erik J. Shohian | Date | |
| FIFTH INVENTOR Dean C. Chang | Date | |

| FIRST INVENTOR Danny A. Grant | Date | |
|---|--------------|---|
| SECOND INVENTOR Jeffrey Eid | Date | _ |
| THIRD INVENTOR Shoichi Endo | Date 5-19-66 | |
| FOURTH INVENTOR Erik J. Shehien Shaho I and | Date | |
| FIFTH INVENTOR Dean C. Chang | Date | |

| FIRST INVENTOR Danny A. Grant | Date | |
|------------------------------------|-------------------------|--|
| SECOND INVENTOR Jeffrey Eid | Date | |
| THIRD INVENTOR Shoichi Endo | Date | |
| FOURTH INVENTOR Erik J. Shohian | Date F / 24 / 25 | |
| FIFTH INVENTOR Dean C. Chang | 5/24/0 5 Date | |

37 C.F.R. §1.56 Duty to disclose information material to patentability

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1,97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
 - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
 - (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
 - (1) Each inventor named in the application;
 - (2) Each attorney or agent who prepares or prosecutes the application; and
 - (3) Every other person who is substantively involved in the preparation or

prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

SV #249620 v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Danny A. Grant et al.

SERIAL NO.:

10/538,160

CONFIRMATION NO. 3287

FILING DATE:

June 8, 2005

TITLE:

Methods and Systems for Providing Haptic Messaging to Handheld

Communication Devices

EXAMINER:

unassigned

ART UNIT:

unassigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Missing Parts, Commissioner for Patents, Alexandria, VA 22313-1450, on the date printed below:

Date: 6/23/06

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF LACK OF DECEPTIVE INTENT

5hahoian

I, Erik J. Shohian, am an inventor of the subject matter claimed in US Patent Application Serial Number 10/538,160 which claims priority from PCT Application Number PCT/US2003/038899 in which I was not named as an inventor. I hereby confirm that any error in inventorship in the international application occurred without deceptive intention on my part.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Danny A. Grant et al.

SERIAL NO.:

10/538,160

CONFIRMATION NO. 3287

FILING DATE:

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Methods and Systems for Providing Haptic Messaging to Handheld

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CERTIFICATE OF MAILING

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Date: **b**

b \$310b

Name

Monica Pizzaro

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION OF LACK OF DECEPTIVE INTENT

I, Dean C. Chang, am an inventor of the subject matter claimed in US Patent Application

Serial Number 10/538,160 which claims priority from PCT Application Number

PCT/US2003/038899 in which I was not named as an inventor. I hereby confirm that any error in inventorship in the international application occurred without deceptive intention on my part.

By: \(\sigma \lambda \)

Date: \(\frac{5}{2} \frac{5}{0} \tau \)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Danny A. Grant et al.

SERIAL NO.:

10/538,160

CONFIRMATION NO. 3287

FILING DATE:

June 8, 2005

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Methods and Systems for Providing Haptic Messaging to Handheld

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Date: 6/23/06

Mail Stop Missing Parts **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

CONSENT OF ASSIGNEE

Dear Sir:

I, Laura Peter, am Vice President and General Counsel of Immersion Corporation and am authorized to execute this Consent of Assignee. Immersion Corporation herby consents to the addition of inventors Erik J. Shohian and Dean C. Chang to the above-captioned patent application.

Date: 21 april 2006



PTO/SB/96 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Immersion Corporation Filed/Issue Date: June 8, 2005 Application No./Patent No.: 10/538,160 Entitled: Methods and Systems for Providing Haptic Messaging to Handheld Communication Devices a Corporation Immersion Corporation (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) states that it is: the assignee of the entire right, title, and interest; or an assignee of less than the entire right, title, and interest The extent (by percentage) of its ownership interest is ____ in the patent application/patent identified above by virtue of either: A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: 1. From: To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. 2. From: To: The document was recorded in the United States Patent and Trademark Office at ___, Frame _____, or for which a copy thereof is attached. Reel 3. From: To: The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. □ Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee. Signature Laura A. Peter 408.467.1900 Printed or Typed Name Telephone Number Vice President and General

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Counsel

ASSIGNMENT

WHEREAS, we, Danny A. Grant, a citizen of Canada, residing at 5961 Rue de la Roche #4,

Montreal, Quebec H2S 2C8, Canada, Jeffrey Eid, a citizen of the United States of America, residing at

105 Shadwell Drive, Danville, CA 94506, Shoichi Endo, a citizen of Japan, residing at 20268 Northwest

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Square, Cupertino, CA 95014, Erik J. Shohian, a citizen of the United States of America, residing at 3208

El Suyo Drive, San Ramon, CA 94583 and Dean C. Chang, a citizen of the United States of America,

residing at 330 Alderwood Drive, Gaithersburg, MD 20878, have invented an "Methods and Systems

for Providing Haptic Messaging to Handheld Communication Devices" for which we have executed application papers for a U.S. patent thereon, which was filed on June 8, 2005, serial no. 10/538,160; and

WHEREAS, Immersion Corporation, a Delaware corporation, having a place of business located at 801 Fox Lane, San Jose, CA 95131, is desirous of acquiring the exclusive right, title and interest in and to said invention and in and to the Letters Patent to be granted and issued therefor in the United States of America and its territories and possessions, and all countries foreign thereto;

NOW, THEREFORE, for a valuable consideration, the receipt of which is hereby acknowledged, we, Danny A. Grant, Jeffrey Eid, Shoichi Endo, Erik J. Shohian and Dean C. Chang, do sell, assign, transfer and set over unto the said Immersion Corporation, its successors and assigns, the full and exclusive right, title and interest in and to said invention, and in and to any and all Letters Patent to be granted and issued therefor, not only for, to and in the United States of America, its territories and possessions, but also for, to and in all other countries including all priority rights under the International Convention; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Immersion Corporation, its successors and assigns, in accordance with this Assignment.

Re: U.S. Patent Application entitled: Methods and Systems for Providing Haptic Messaging to Handheld Communication Devices

Inventor: Danny A. Grant

| WITNESS MY HAND at | Montical, MG | () this |
|--------------------|---------------------|---------------------------|
| 25 day of | April (city, state) | . <u>2006</u> . (year) |
| Danny A. Grant | / | |
| Marvel Civ | Ę P | pri /25/2006 |

Mill Colen and 25/2006

Re: U.S. Patent Application entitled: Methods and Systems for Providing Haptic Messaging to Handheld Communication Devices

Inventor: Jeffrey Eid

| WITNESS MY HAND at day of day of (date) (month | (city, state) (year) |
|--|--|
| Jeffrey Eid | |
| STATE OF CALIFORNIA COUNTY OF Sonta Clare |)) ss.) |
| On this day of which the person/s acted, extended to the within instrument and acknowledge his/her/their authorized capacities, and that by his/her the entity upon behalf of which the person/s acted, extended to the within instrument and acknowledge his/her/their authorized capacities, and that by his/her the entity upon behalf of which the person/s acted, extended to the person to t | ed to me that he/she/they executed the same in r/their signature/s on the instrument the person/s or |
| WITNESS my hand and official seal. | |
| ROSEMARIE B. RAMOS COMM. #1418737 Notary Public-California GO SANTA CLARA COUNTY My Comm. Exp. May 9, 2007 | NOTARY PUBLIC |

Re: U.S. Patent Application entitled: Methods and Systems for Providing Haptic Messaging to Handheld Communication Devices

Inventor: Shoichi Endo

| WITNESS MY HAND at San Jose | , CA this |
|---|--|
| day of April | nonth), (city, state), (year) |
| Shoichi Endo | |
| STATE OF CALIFORNIA COUNTY OF Sonto Chara |)) ss.) |
| to me (or proved to me on the basis of satisfactors subscribed to the within instrument and acknow | lic, personally appeared Shoichi Endo, personally known ory evidence) to be the person/s whose name is/are vledged to me that he/she/they executed the same in his/her/their signature/s on the instrument the person/s or |
| WITNESS my hand and official seal. | |
| ROSEMARIE B. RAMOS COMM. #1416737 Motary Public-California SANTA CLARA COUNTY My Comm. Exp. May 9, 2007 | NOTARY RUBLIC |

| Re: U.S. Patent Applic Handheld Communic Inventor: Erik J. Sheh S | cation Devices | . | s and Systems for P | roviding Hapti | c Messaging to |
|--|---|--|--|---|-------------------------------------|
| WITNESS MY HANI |) at <u>San</u> I | Ramon, | | | , this |
| | | | (city, state) | | |
| 19 | day of | May | | 2006 | |
| (date) | | | (month) | (year) | |
| Erik J. Shohian Sh | HQ ahoian | | - | | |
| STATE OF CALIFOR | RNIA | |)) ss.) | | |
| 0.41 | , | • | | 16 | |
| On this | day of | T | 1.1: | befo | Die ille, Lien mannenelle lesere |
| to me (or proved to me subscribed to the with his/her/their authorize the entity upon behalf | e on the basis of in instrument a d capacities, a | of satisfaction of satisfactin of satisfaction of satisfaction of satisfaction of satisfaction | ctory evidence) to be owledged to me that his/her/their signatu cted, executed the in | the person/s wh he/she/they exec are/s on the instr | uted the same in |
| ٠ | | | | NOTARY | UBLIC |

Re: U.S. Patent Application entitled: Methods and Systems for Providing Haptic Messaging to Handheld Communication Devices

Inventor: Dean C. Chang

| WITNESS MY HAND at day (date) | of May | (city, state) | 2066 (year) | _, this |
|--|---|--|---|-------------------------------------|
| Dean C. Chang | | , | , | |
| STATE OF MARYLAND | |)) ss. | | |
| On this | _ day of | nersonally app | , be | fore me, Chang, personally knowr |
| to me (or proved to me on the subscribed to the within instr his/her/their authorized capac the entity upon behalf of whi | e basis of satisfactor ument and acknowle cities, and that by his | y evidence) to b edged to me that s/her/their signat | e the person/s w he/she/they exe ture/s on the inst | hose name is/are cuted the same in |
| WITNESS my hand | and official s c al. | | | |
| | | · | NOTARY | PUBLIC |